



Due By April 30, 2010

1D#108051

09 FS-1

Rhode Island Ethics Commission

2009 YEARLY FINANCIAL STATEMENT

RECEIVED
RHODE ISLAND
ETHICS COMMISSION
10 APR 22 AM 10:35

DAVID A CAPRIO
1 CENTER PLACE
PROVIDENCE RI 02903-

ALL QUESTIONS REFER TO THE CALENDAR YEAR JANUARY 1, 2009 THROUGH DECEMBER 31, 2009
UNLESS OTHERWISE SPECIFIED.

**PLEASE ANSWER ALL QUESTIONS AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLICABLE" SO
STATE. ANSWERS SHOULD BE PRINTED OR TYPED**, and additional sheets may be used if more space is needed.
For clarification of any question, read instruction sheet.

Note: If you are a state or municipal official or employee who is required to file a Yearly Financial Statement, a failure to file the Statement is a violation of the law and may subject you to substantial penalties, including fines. If you received a 2009 Yearly Financial Statement in the mail but believe you did not hold a public position in 2009 or 2010 that requires such filing, you should contact the Ethics Commission (See Instruction Sheet for contact information).

1. CAPRIO DAVID
NAME OF OFFICIAL (LAST) (FIRST) (INITIAL)

2. 545 OCEAN ROAD NARRAGANSETT RI 02882
HOME ADDRESS (STREET) (CITY/TOWN) (ZIP CODE)

1 CENTER PLACE PROVIDENCE RI 02903
MAILING ADDRESS (if different from home address)

3. List Public Position(s) you hold and governmental unit:

STATE REPRESENTATIVE DISTRICT 34
(PUBLIC POSITION) (MUNICIPALITY, STATE OR REGIONAL)

(PUBLIC POSITION) (MUNICIPALITY, STATE OR REGIONAL)

I was elected on 12/99 I was appointed on _____ I was hired on _____
(date) (date) (date)

If you no longer hold a public position, state date of termination or resignation _____

4. List elected office(s) for which you were/are a candidate in either calendar year 2009 or 2010 (Read instruction #4)

STATE REPRESENTATIVE DISTRICT 34

5. List the following: NAME OF SPOUSE

6. List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year 2009. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self-employed and services were rendered to a state or municipal agency for an amount of income in excess of \$250, list the date and nature of services rendered. If the public position or employment listed in #3, above, provides you with an amount of gross income in excess of \$250 it must be listed here. **(Do Not List Amounts.)**

NAME OF FAMILY
MEMBER EMPLOYED

NAME AND ADDRESS
OF EMPLOYER OR OCCUPATION

DATES AND NATURE
OF SERVICES RENDERED

DAVID CAPRIO

STATE of RHODE ISLAND HOUSE of
Representatives JCLS

1-1-09-12-31-09

SELF EMPLOYED ATTORNEY

1 CENTER PLACE PROVIDENCE 02903

1-1-09 - 12-31-09

7. List the address or legal description of any real estate, other than your principal residence, in which you, your spouse, or dependent child had a financial interest.

NAMES

NATURE OF INTEREST

ADDRESS OR DESCRIPTION

DAVID CAPRIO

JOINT TENNANT, Old Boston Neck Road, Narragansett LOT
TENNANT IN COMMON 80 ANNANDALE ROAD NEWPORT RI
LLC MEMBERSHIP interest 11-15 River Street, Smithfield, RI
JOINT TENNANT 32 LORRAINE STREET, BARRINGTON, RI
OWNER LAUDERDALE AVE, NARRAGANSETT (LOT)
INDIRECT INTEREST 1 CENTER PLACE, PROVIDENCE RI
JOINT TENNANT 33 JONES STREET PROVIDENCE RI

8. List the name of any trust, name and address of the trustee of any trust, from which you, your spouse, or dependent child or children individually received \$1,000 or more gross income. List assets if known. **(Do Not List Amounts.)**

NAME OF TRUST:

NA

NAME OF TRUSTEE AND ADDRESS:

NAME OF FAMILY MEMBER
RECEIVING TRUST INCOME:

ASSETS:

9. List the name and address of any business organization or other entity, whether for profit or non-profit, in which you, your spouse, or dependent child held a position as a director, officer, partner, trustee, or a management position.

NAME OF FAMILY MEMBER

NAME AND ADDRESS OF BUSINESS

POSITION

DAVID CAPRIO

WCI Steel LLC 1 Center Place Providence

member LLC

CENTURY LAND TRUST

member LLC

FRANK CAPRIO IRREVOCABLE GIFT TRUST 1 Center Place, Prov

CO-Trustee

CAPLAR LLC 1 Center Place Providence

member LLC

ANNANDALE ASSOCIATES 50 PARK Row West, Providence

member LLC

SOUTH COUNTY TOURISM Council

BOARD member

AURORA CIVIC ASSOCIATION BROADWAY, Providence

BOARD member/Vice president

10. List the name and address of any interested person, or business entity, that made total gifts or total contributions in excess of \$100 in cash or property during calendar year 2009 to you, your spouse, or dependent child. Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING
GIFT OR CONTRIBUTION

NAME AND ADDRESS OF PERSON OR ENTITY
MAKING GIFT OR CONTRIBUTION

N/A

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER

NAME AND ADDRESS OF BUSINESS

DAVID CAPRIO	-WCT Steel LLC 1 center place Providence	member LLC
	-CENTURY LAND TRUST 1 center place Providence	member LLC
	-VARIOUSLY PUBLICALLY TRADED COMPANIES	
	-FRANK CAPRIO irrevocable gift Trust 1 center place Providence	co-trustee
	-CAPLAR LLC 1 center place, Providence	member LLC
	-ANNANDALE ASSOCIATES 50 PARK ROW WEST Providence	member LLC

12. If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2009 with a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS
OF BUSINESS

NAME OF AGENCY

DATE AND NATURE
OF TRANSACTION

N/A

13. If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF REGULATING AGENCY

N/A

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement **AND** if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS OF BUSINESS

DESCRIPTION OF INTEREST (NOT AMOUNT)
AND DATE ACQUIRED AND/OR DIVESTED

N/A

NAME OF REGULATING AGENCY

HOW REGULATED

15. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following:

NAME AND ADDRESS
OF BUSINESS

DESCRIPTION OF INTEREST
DATE ACQUIRED AND/OR DIVESTED
(DO NOT INCLUDE AMOUNT)

NAME OF STATE
OR MUNICIPAL AGENCY

NONE

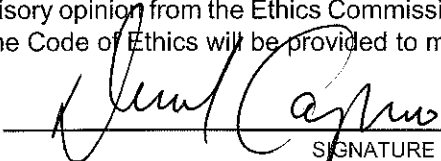
16. If you, your spouse or dependent child were indebted in an amount in excess of \$1,000 to any person, business entity or other organization other than (i) any person related to you, your spouse or dependent child at any time within the third degree of consanguinity, or (ii) a financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (iii) any indebtedness arising from transactions involving credit cards, please list the following:

NAME AND ADDRESS OF DEBTOR

NAME AND ADDRESS OF LENDER

DAVID CAPRIO COLUMBUS CREDIT UNION 560 MAIN ST WARREN RI
FIRST HORIZON HOME LOANS 400 HORIZON WAY IRVING TX
WASHINGTON TRUST WESTERLY
EVERHOME MORTGAGE COMPANY 8100 NATIONS WAY, JACKSONVILLE FL 32256

I certify under penalty of perjury, that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests during the year 2009 of myself, my spouse, and my dependent children. I acknowledge that I may request an advisory opinion from the Ethics Commission as to my conduct under the Code of Ethics. I understand that a copy of the Code of Ethics will be provided to me at no cost upon request by contacting the Ethics Commission.


SIGNATURE

State of Rhode Island
County of PROVIDENCE

Subscribed and sworn to before me at PROVIDENCE this 21 day of April 2010.

My Commission expires: 6-30-10


SIGNATURE OF NOTARY PUBLIC

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF ANY QUESTION IS NOT ANSWERED.